IEHP UM Subcommittee Approved Authorization Guideline

<table>
<thead>
<tr>
<th>Guideline</th>
<th>Gender Dysphoria</th>
<th>Guideline #</th>
<th>UM_SUR 04</th>
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<tbody>
<tr>
<td>Original Effective Date</td>
<td>5/14/2014</td>
<td>Revision Date</td>
<td>03/28/19</td>
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**Coverage Policy**

IEHP considers the following treatment medically necessary for Members with gender dysphoria:

1. Behavior health services, to include psychotherapy;
2. Feminizing/masculinizing hormone therapy and/or puberty suppression hormone therapy with clinical monitoring for efficacy and adverse events; and
3. Gender-affirmation surgery that is not cosmetic in nature.

**Qualification Process of Gender-Affirming Treatments and Procedures:**

Members 18 years of age or older:

A. **Hormone Therapy**

1. The individual must have a diagnosis of gender dysphoria.
2. The individual must be able to provide informed consent. Feminizing/masculinizing hormone therapy may lead to irreversible physical changes and/or adverse effects. The individual must have the capacity to make a fully informed decision to consent to treatment. This should include a discussion regarding options for fertility preservation.
3. It is recommended that the individual be in therapy with a mental health professional.
   a. The mental health professional must have at a minimum a master’s degree or its equivalent in a clinical behavioral science field by an accredited institution, an up-to-date clinical license, training, continuing education and experience working with the diagnosis and treatment of gender dysphoria.
4. The medical provider prescribing the gender-affirming hormones may be a Primary Care Physician, an Obstetrician-Gynecologist, an Endocrinologist, or another medical professional with a license to prescribe hormones.
   a. The goal of trans-feminizing hormone therapy is the development of female secondary sex characteristics, and suppression/minimization of male endogenous secondary sex characteristics.
   b. The goal of trans-masculinizing hormone therapy is the development of male secondary sex characteristics, and suppression/minimization of female endogenous secondary sex characteristics.
B. Chest and Genital Gender-Affirming Surgical Consultation:
   1. The individual must have a diagnosis of persistent gender dysphoria.
   2. The individual must be able to provide informed consent. Feminizing/masculinizing
genender-affirming surgery will lead to irreversible physical changes and/or potential
adverse effects, and the individual must have the capacity to make a fully informed
decision to consent to treatment.
   3. A Medical Evaluation Form is to be completed (see Attachment B). Alternatively, the
Provider may submit the same content in the clinical documentation.
   4. The Provider or Therapist Documentation Form for Evaluation for Transgender
Surgery is to be completed (see Attachment C). Alternatively, a letter from the Provider
addressing the same content as Attachment C is acceptable.
      a. One form/letter (for chest surgeries) from an individual’s treating Primary Care
Provider or mental health professional endorsing the request in writing is required
for the following chest surgeries:
         i. (M to F) Augmentation mammoplasty;
         ii. (F to M) Mastectomy with male chest reconstruction.
      b. Two forms/letters (for genital surgeries) from an individual’s Primary Care
Provider and/or mental health professional(s) endorsing the request in writing are
required for the following genital surgeries:
         i. (M to F) Penectomy, orchiectomy, vaginoplasty, colovaginoplasty,
             clitoroplasty, vulvoplasty, and labioplasty;
         ii. (F to M) Hysterectomy, salpingo-oophorectomy, urethorplasty,
             metoidioplasty, phalloplasty, vaginectomy, scrotoplasty, penile implant,
             testicular prosthesis.
   5. For all genital surgeries, the individual must have undergone 12 months of continuous
hormone therapy as appropriate to the patient’s gender goals (unless hormones are not
clinically indicated for the individual.)
   6. For vaginoplasty, metoidioplasty, and phallopasty, the individual must have been living
in the gender role congruent with the patient’s gender identity for 12 continuous
months.
   7. It is strongly recommended that a social health assessment that screens the Member for
social needs such as housing, food, transportation, and other basic needs be completed
by the Provider. IEHP’s Social Health Survey (Transgender Pre-Operative Assessment)
can be accessed at https://ww3.iehp.org/en/providers/special-programs/iehp-gender-health/
and click on IEHP Social Health Survey.

C. Penile Prosthesis Requests:
IEHP will review requests on a case-by-case basis consistent with Medi-Cal guidelines for
medical necessity. Penile prosthesis requests require:
1. Completion of genital gender-affirming surgery consultation
2. Status of phalloplasty:
   a. Approved request for phalloplasty surgical procedure; OR
   b. Completion of phalloplasty surgical procedure
3. Documentation of:
   a. Inability to achieve insertive coitus; AND
   b. Tried and failed external penile rigidity device (e.g. penile splint)
D. **Other Gender Affirming Surgical Interventions:**

1. **Facial Reconstructive Surgical Consultation:**
   a. The individual must have a diagnosis of persistent gender dysphoria.
   b. The individual must be 18 years of age or older.
   c. The individual must be able to provide informed consent;
      i. Feminizing/Masculinizing gender-affirming surgery will lead to irreversible physical changes and/or potential adverse effects, and the individual must have the capacity to make a fully informed decision to consent to treatment.
      ii. The treating surgeon must show that the individual has received appropriate education prior to the proposed procedure.
   d. Evidence of 12 continuous months of hormone therapy, unless medical contraindication to hormone therapy documented.
   e. Member has lived as the preferred gender for 12 continuous months.
   f. A Medical Evaluation Form is to be completed (see Attachment B). Alternatively, the Provider may submit the same content in the clinical documentation.
   g. The Provider or Therapist Documentation Form for Evaluation for Transgender Surgery is to be completed (see Attachment C). Alternatively, a letter from the Provider addressing the same content as Attachment C is acceptable.
      i. The form/letter must evaluate facial feature(s) that cause persistent gender dysphoria, clarify goals and expectations, and assess self-acceptance, AND
      ii. Address how the presence of stated feature(s) impair function in relation to activities of daily living, AND
      iii. Address how reconstruction of said features will improve quality of life and daily function.

2. **Facial Reconstructive Surgery requests:**
   a. All components of facial reconstructive consultation requests have been completed;
   b. Clear documentation of proposed facial reconstructive procedures with evidence, to include photos, justifying medical necessity and reconstructive purpose of requested surgical procedure.
   c. Cosmetic services are not a covered benefit.

3. **Revisions of Gender-Affirming Surgery Requests:**
   IEHP authorizes requests for surgical revision on a case-by-case basis consistent with Medi-Cal guidelines for medical necessity. IEHP does not cover cosmetic surgery. Clinical documentation must support medical necessity. Surgical revision requests require:
   a. Medical and/or functional complications of prior gender affirming procedure.
   b. Measurements and/or photographs of deformity/asymmetry (if applicable).
   c. Endorsement of medical necessity from the performing surgeon.

4. It is strongly recommended that a [social health assessment](#) that screens the Member for social needs such as housing, food, transportation, and other basic needs be completed by the Provider prior to proceeding with all surgical interventions. IEHP’s Social Health Survey (Transgender Pre-Operative Assessment) can be accessed at
E. **Medically Necessary Durable Medical Equipment (DME) Items:**

1. The Medi-Cal definition of medical necessity limits health care services to those necessary to protect life, prevent significant illness or significant disability, or to alleviate severe pain. Prescribed DME items may be covered as medically necessary only to preserve bodily function essential to activities of daily living or to prevent significant physical disability.

   a. Chest binders are used by transgender men to alleviate disabling symptoms of gender dysphoria and improve activities of daily living. Chest binder use may obviate the need to proceed with gender affirming surgery. As such, chest binders are a medically necessary DME item and will be allowed with a frequency limit of 3 (three) every 6 (six) months.

   b. Vaginal dilation protocols are a required part of the post-operative treatment plan for transgender women who have undergone vaginoplasty. As such, vaginal dilators are a medically necessary DME item in order to ensure an optimal post-operative course and are allowed one time per Member.

F. Please refer to *UM Subcommittee Approved Guideline Hair Removal* for hair reduction consultation and procedure authorization criteria.

**For Members under 18 years of age**:  

A. **Hormone Therapy**

1. The individual must have a diagnosis of gender dysphoria.

2. It is strongly recommended that the individual be in therapy with a mental health professional.

   a. The mental health professional must have at a minimum a master’s degree or its equivalent in a clinical behavioral science field by an accredited institution, an up-to-date clinical license, and training in childhood and adolescent developmental psychopathology.

3. Puberty-Suppressing Hormone therapy requires a referral with the following information:

   a. Demonstration of long-lasting and intense pattern of gender non-conformity or gender dysphoria.

   b. Gender dysphoria emerged or worsened with onset of puberty.

   c. Coexisting psychological, medical, or social problems that could interfere with treatment have been addressed.

   d. Informed consent has been obtained by the parent or other caretaker or guardians.

   e. Puberty-suppression hormone therapy typically is provided at Tanner level 2 development.

4. Feminizing/Masculinizing Hormone therapy requires:

   a. Demonstration of long-lasting and intense pattern of gender non-conformity or gender dysphoria.

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1 Gender-affirming care for transgender youth is a young and rapidly evolving field. In the absence of solid evidence, Providers must rely on expert opinion. This guideline is largely based on expert opinion from the fields of adolescent medicine, pediatric endocrinology, family medicine, and advanced practice nursing who have many years of expertise in clinical care and research.
b. Gender dysphoria emerged or worsened with onset of puberty.
c. Coexisting psychological, medical, or social problems that could interfere with treatment have been addressed.
d. 16 years of age and sufficient mental capacity to give informed consent.
   i. Feminizing/Masculinizing Hormone therapy prior to age 16 years may be granted on a case-by-case review with medical justification that benefits outweigh the risks.
e. Informed consent has been obtained by the parent or other caretaker or guardians. This should include a discussion regarding options for fertility preservation.

5. The medical provider prescribing the gender-affirming hormones may be a Pediatrician or other PCP, an Endocrinologist, or another medical professional with a license to prescribe hormones.
   a. The goal of trans-feminizing hormone therapy is the development of female secondary sex characteristics, and suppression/minimization of male endogenous secondary sex characteristics.
   b. The goal of trans-masculinizing hormone therapy is the development of male secondary sex characteristics, and suppression/minimization of female endogenous secondary sex characteristics.

B. Gender-affirming surgical therapy for Members younger than 18 years:
   1. Genital surgery is not covered for Members younger than 18 years of age;
   2. Chest surgery is covered in transgender female to male Members younger than 18 years of age based on the following criteria:
      a. 1 year of testosterone treatment, and
      b. Living in preferred gender for ample amount of time;
      c. The individual must have a diagnosis of persistent gender dysphoria;
      d. Informed consent is obtained. Feminizing/masculinizing gender-affirming surgery will lead to irreversible physical changes and/or potential adverse effects, and the individual/parent must have the capacity to make a fully informed decision to consent to treatment;
      e. A Medical Evaluation Form is to be completed (see Attachment B). Alternatively, the Provider may submit the same content in the clinical documentation;
      f. The Provider or Therapist Documentation Form for Evaluation for Transgender Surgery is to be completed (see Attachment C). Alternatively, a letter from the Provider addressing the same content as Attachment C is acceptable.
         i. One form/letter (for chest surgeries) from an individual’s treating Primary Care Provider or mental health professional endorsing the request in writing is required for (F to M) mastectomy with male chest reconstruction.
   3. All other transgender reconstructive surgery requests for Members younger than 18 years of age will be reviewed for medical necessity on a case by case basis.
   4. It is strongly recommended that a social health assessment that screens the Member for social needs such as housing, food, transportation, and other basic needs be completed by the Provider prior to all surgical interventions. IEHP’s Social Health Survey (Transgender Pre-Operative Assessment) can be accessed at https://ww3.iehp.org/en/providers/special-programs/iehp-gender-health/ and click on IEHP Social Health Survey.
C. **Covered Gender-Affirming Surgery** (traditionally considered reconstructive in nature):
   1. Hysterectomy
   2. Salpingo-oophorectomy
   3. Urethorplasty
   4. Metoidioplasty
   5. Phalloplasty
   6. Vaginectomy
   7. Scrotoplasty
   8. Penile Implant
   9. Testicular Prosthesis
  10. Penectomy
  11. Orchietomy
  12. Vaginoplasty
  13. Colovaginoplasty
  14. Clitoroplasty
  15. Vulvoplasty
  16. Labiaplasty

D. **Other Commonly Requested Surgeries** (that would be reviewed on a case by case basis for medical necessity):
   1. Abdominoplasty
   2. Augmentation Mammoplasty
   3. Face lift, Blepharoplasty
   4. Brow Lift
   5. Calf Implants
   6. Cheek/Malar Implants
   7. Chin/Nose Implant
   8. Collagen injections
   9. Facial Bone Reconstruction
  10. Forehead Lift
  11. Hair Removal or Transplant
  12. Liposuction
  13. Lip Reduction
  14. Mastoplexy
  15. Neck Tightening
  16. Pectoral Implants
  17. Reduction Thyroid Chondroplasty
  18. Removal of Redundant Skin
  19. Rhinoplasty
  20. Voice Therapy/Voice Lessons
  21. Voice Modification Surgery
COVERAGE LIMITATIONS AND EXCLUSIONS

Coding Issues- CPT Codes Not Covered:
1. 55970 Intersex surgery, male to female
2. 55980 Intersex surgery, female to male
3. Due to the serial nature of surgery for the gender transition, CPT-4 coding should be specific for the procedures performed during each operation. A Treatment Authorization Request (TAR) is necessary only for procedures that currently require a TAR.

ADDITIONAL INFORMATION

In the second half of the twentieth century, awareness of the phenomenon of gender dysphoria (discomfort or distress that is caused by a discrepancy between a person’s gender identity and that person’s sex assigned at birth) increased when health professionals began to provide assistance to alleviate gender dysphoria by supporting changes in primary and secondary sex characteristics through hormone therapy and surgery, along with a change in gender.

Health professionals can assist gender dysphoric individuals with affirming their gender identity, exploring different options for expression of that identity, and making decisions about medical treatment options for alleviating gender dysphoria. These include:
1. Psychotherapy for purposes such as exploring gender identity, role, and expression; addressing the negative impact of gender dysphoria and stigma on mental health; alleviating internalized transphobia; enhancing social and peer support; improving body image; or promoting resilience.
2. Changes in gender expression and role.
3. Hormone therapy to feminize or masculinize the body or puberty suppression therapy.
4. Surgery to change primary and/or secondary sex characteristics.

CLINICAL/REGULATORY RESOURCE

Medi-Cal (2017):
Treatment for gender dysphoria is a covered Medi-Cal benefit when medically necessary.

Department of Health Care Services, All Plan Letter 16-013 (2016):
The purpose of this All Plan Letter (APL) is to remind Medi-Cal managed care health plans (MCPs) that they must provide covered services to all Medi-Cal beneficiaries, including transgender beneficiaries.

Apollo (2018):
Either surgical or hormonal reassignment therapy must meet the Harry Benjamin International Gender Dysphoria Association criteria for gender reassignment.

World Professional Association for Transgender Health Standards of Care for the Health of Transsexual, Transgender, and Gender-Nonconforming People, Version 7 - WPATH (2012):
The World Professional Association for Transgender Health (WPATH) is an international, multidisciplinary, professional association whose mission is to promote evidence-based care, education, research, advocacy, public policy, and respect in transsexual and transgender health.
DEFINITION OF TERMS
Gender dysphoria: Discomfort or distress that is caused by a discrepancy between a person’s gender identity and that person’s sex assigned at birth.

REFERENCES
8. Medical Board of California, Frequently Asked Questions – Cosmetic Treatments, accessed on 09/13/18 at:
   http://www.mbc.ca.gov/Licensees/Cosmetic_Treatments_FAQ.aspx
DISCLAIMER
IEHP Clinical Authorization Guidelines (CAG) are developed to assist in administering plan benefits, they do not constitute a description of plan benefits. The Clinical Authorization Guidelines (CAG) express IEHP's determination of whether certain services or supplies are medically necessary, experimental and investigational, or cosmetic. IEHP has reached these conclusions based upon a review of currently available clinical information (including clinical outcome studies in the peer-reviewed published medical literature, regulatory status of the technology, evidence-based guidelines of public health and health research agencies, evidence-based guidelines and positions of leading national health professional organizations, views of physicians practicing in relevant clinical areas, and other relevant factors). IEHP makes no representations and accepts no liability with respect to the content of any external information cited or relied upon in the Clinical Authorization Guidelines (CAG). IEHP expressly and solely reserves the right to revise the Clinical Authorization Guidelines (CAG), as clinical information changes.
## Types of Genital Surgery

### Female to Male:

<table>
<thead>
<tr>
<th>Procedure</th>
<th>CPT Codes</th>
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<tbody>
<tr>
<td>Hysterectomy, Salpingo-oophorectomy Reconstruction of urethra Metoidioplasty</td>
<td></td>
</tr>
<tr>
<td>Phalloplasty Vaginectomy</td>
<td></td>
</tr>
<tr>
<td>Scrotoplasty</td>
<td></td>
</tr>
<tr>
<td>Implant Penile and/or Testicular prosthesis</td>
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### Male to Female:

<table>
<thead>
<tr>
<th>Procedure</th>
<th>CPT Codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Penectomy</td>
<td>54125</td>
</tr>
<tr>
<td>Orchietomy</td>
<td>54520, 54690</td>
</tr>
<tr>
<td>Vaginoplasty</td>
<td>57335</td>
</tr>
<tr>
<td>Colovaginoplasty</td>
<td>57291, 57292</td>
</tr>
<tr>
<td>Clitoroplasty</td>
<td>56805</td>
</tr>
<tr>
<td>Vulvoplasty</td>
<td></td>
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<tr>
<td>Labiaplasty</td>
<td>5899</td>
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ATTACHMENT B

Transgender Health Services Medical Evaluation Form

Member’s current Name: ___________________________ Date of Birth: ___________________________
Member’s name on file with insurance, if different: ___________________________________________________

Hormone Therapy History  Mark only one “Yes”:

☐ Yes  This Member has taken 12 continuous months of hormone therapy as appropriate to the Member’s gender goals, OR
☐ No  Hormone therapy is not appropriate for this Member’s gender goals, OR
☐ Yes  This Member has medical contraindications to hormones therapy, OR
☐ No  This Member is unable or unwilling to take hormone therapy

Please mark either “Yes” or “No” for every item on the rest of the document. All items must be completed.

Yes  No
☐ ☐ This Member has gender dysphoria

Medical conditions that could interfere with expected outcomes of proposed surgery

Yes  No  Yes  No
☐ ☐ Uncontrolled diabetes ☐ ☐ History of venous thromboembolism
☐ ☐ Active infection ☐ ☐ History of poor wound healing
☐ ☐ Severe immunosuppression ☐ ☐ Obesity  BMI: ________________
☐ ☐ Other unstable medical conditions: __________________________________________________________

Other Conditions

Yes  No  Yes  No
☐ ☐ Tobacco/nicotine use ☐ ☐ Other significant substance use
☐ ☐ Cannabis/marijuana use

If any medical condition present please document plans to stabilize, including a cessation plan if Member uses nicotine:

________________________________________________________________________________________
________________________________________________________________________________________
Yes  No

☐  ☐  Medical conditions are well controlled

☐  ☐  This Member has been engaged in appropriate medical care for at least 1 year demonstrating adherence to laboratory monitoring and compliance with prescribed medications, treatments, and appointments.

☐  ☐  It is my opinion that the proposed surgery will benefit this Member’s health

Medical Provider Name  Signature  Date

__________________________________  ____________________________  _______________________

Please fax form with surgical procedure referral authorization request to IEHP at (909) 890-5751.
ATTACHMENT C

Provider or Therapist Documentation Form for Evaluation for Transgender Surgery

Member’s Name:
__________________________________________________________________________________

Legal Name:
__________________________________________________________________________________

DOB:
__________________________________________________________________________________

Clinician Name:
__________________________________________________________________________________

Office location or clinic:
__________________________________________________________________________________

Please describe your experience completing assessments for gender related surgeries:
__________________________________________________________________________________

For which surgery or surgeries are you referring this Member?

☐ Orchietomy          ☐ Penectomy          ☐ Vaginoplasty
☐ Hysterectomy/Oophorectomy ☐ Phalloplasty          ☐ Metoidioplasty
☐ Vulvoplasty/Labiaplasty
☐ Feminizing Mammoplasty (breast augmentation)
☐ Subcutaneous Mastectomy with male chest reconstruction
☐ Surgery not listed here. Please describe:
__________________________________________________________________________________

Please list the dates that you evaluated this Member for readiness and appropriateness for surgical intervention?
__________________________________________________________________________________

Which current or previous medical and/or mental health providers did you speak with in your evaluation?
__________________________________________________________________________________
Please give a description of this Member, identify characteristics, their history of gender dysphoria and emphasize their attempts to address their gender dysphoria:

__________________________________________________________________________________

__________________________________________________________________________________

Please indicate the length of time the Member has taken hormones and their response to hormones?

__________________________________________________________________________________

For Members considering Vaginoplasty, Metoidioplasty, and Phalloplasty: The Standards of Care state that the Member must have “12 continuous months of living in a gender role that is congruent with their gender identity.” Please describe how the Member has met this standard:

__________________________________________________________________________________

__________________________________________________________________________________

Does this Member have the capacity to give informed consent for genital surgery? If no, please explain:

__________________________________________________________________________________

__________________________________________________________________________________

Are their issues the surgeons need to know about regarding communication? These could include English fluency, hearing impairments, an autism spectrum disorder, literacy level, learning differences, etc:

__________________________________________________________________________________

__________________________________________________________________________________

How will surgery improve this Member’s functioning? How will it make their life better? Please use the Member’s words:

__________________________________________________________________________________

__________________________________________________________________________________
Do you have any hesitation or concern that the Member may regret or not benefit from a surgical intervention?

_________________________________________________________________________________

_________________________________________________________________________________

Please give a brief description of this Member’s mental health history, including suicidality, homicidality, and any history of violence towards healthcare workers, any psychiatric hospitalizations, and residential treatment for mental health or substance use:

_________________________________________________________________________________

_________________________________________________________________________________

Please list all current and past mental health diagnoses:

_________________________________________________________________________________

_________________________________________________________________________________

Please list all medications that the Member is currently taking related to psychological concerns, sleep, or emotional problems (this should include supplements, like St. John’s Wort and medical marijuana). Please list the prescriber’s name next to the medication:

_________________________________________________________________________________

_________________________________________________________________________________

Does this Member have a mental health problem that the stress of surgery, anesthesia, or recovery may result in decompensation? For instance, PTSD, anxiety disorders, schizophrenia, substance abuse, etc:

_________________________________________________________________________________

_________________________________________________________________________________

Please describe how you have prepared this Member for this possibility and how it will be addressed:

_________________________________________________________________________________

_________________________________________________________________________________

Please describe current and past substance use including nicotine. Please list any concerns the Member has regarding their substance use or their sobriety and pain medication:
Please describe medical problems the Member may have:

__________________________________________________________________________________

What is your assessment of this Member’s function, including their ability to satisfactorily complete ADL’s and IDL’s (Activities of Daily Living and Instrumental Activities of Daily Living.):

__________________________________________________________________________________

__________________________________________________________________________________

Describe this Member’s support system, relationships, family support and work:

__________________________________________________________________________________

__________________________________________________________________________________

Do you believe this Member is capable of carrying out their aftercare plan? (Including providing for their own self-care following surgery; e.g. dilation 3x per day, hygiene issues, monitoring for infections, getting adequate nutrition, staying housed, etc.)

Yes ☐ No ☐

What additional care will this Member need and how will that be arranged? Who will provide needed case management?

__________________________________________________________________________________

__________________________________________________________________________________

Your rational for the referral for surgery:

__________________________________________________________________________________

__________________________________________________________________________________

Is the Member’s gender identity stable and consolidated? Yes ☐ No ☐

Do you believe the Member has realistic expectations about what the surgery can and cannot do?

Yes ☐ No ☐
Is there anything you would like to add?

__________________________________________________________________________________

__________________________________________________________________________________

Your Name, Title and License:

__________________________________________________________________________________

Your Signature: __________________________ Date: _________________________________

Your phone number for follow up: _________________________________________________

Please print and sign this form:

__________________________________________________________________________________

Please fax form with surgical procedure referral authorization request to IEHP at (909) 890-5751.